THE NUCLEAR EQUILIBRATION PROCESS— THE MASTER EQUATION AND CLOSED-FORM APPROACHES*

ELIZABETH HOLUB**, R. ČAPLAR**, Zagreb

The general master equation is specified for the nuclear equilibration process and applied to the case where specific particle-hole configurations of exciton states are explicitly taken into account. In this way it is shown that λ_0 transition rates do not appear in the usual master equation which contains transition and emission rates averaged over the configurations. Different closed-form approximations and their mutual connections are discussed within the pre-equilibrium exciton model. It is shown that under certain conditions such approximations yield fits to experimantal data of about the same quality as more elaborate master-equation calculations.

ЯДЕРНЫЕ РАВНОВЕСНЫЕ ПРОЦЕССЫ—ОПРЕДЕЛЯЮЩЕЕ УРАВНЕНИЕ И ДРУГИЕ БЛИЗКИЕ ПО ФОРМЕ ПОДХОДЫ

Для ядерных равновесных процессов найдено определяющее уравнение, которое применено для случая, когда в явном виде учтены специфические конфигурации частиц и дырок возбужденных состояний. На основе этого показано, что скорости λ_0 переходов не входят в обычное определяющее уравнение, которое содержит скорости переходов и испускания, усредненныее по конфигурациям. Обсуждаются также разные близкие по форме приближения и их взаимосвязь в рамках предправновесной экситонной модели. Показано, что при определенных условиях такие приближения согласуются с экспериментальными данными с той же самой точностью, как и более тщательные вычисления на основе определяющего уравнения.

I. INTRODUCTION

The equilibration process after the initial projectile-target interaction in a nucleus is commonly envisaged as proceeding via a chain of intermediate states characterized by a number of excited particles and corresponding holes called

^{**} Nuclear Spectroscopy Laboratory, "Rjuder Bošković" Institute, P.O.B. 1016, Yu-41001 ZAGREB.

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together excitons. The master equation and different closed-from approaches used for the description of such a process follow from the general master-equation approach upon the introduction of a number of assumptions based on the properties of nuclear interaction.

The master equation for the nuclear equilibration process, widely used in literature [1—7], contains transition and emission rates averaged over specific particle-hole configurations. In this equation, λ_0 transitions do not appear explicitly. However, the general master equation for a system of equilibrating nucleons in which each specific particle-hole configuration is treated separately, contains all transition rates, λ_+ , λ_- and λ_0 . Starting from such a complete master equation, it is possible to derive a master equation valid for averaged transition and emission rates which do not contain terms with λ_0 . This will be done in Section II.

Integrating the master equation over time, one obtains a system of algebraic equations for a time T(n, E) spent by the system (composite nucleus) in an n-exciton state at energy E. From these equations it is possible to obtain a closed-form solution for T(n, E) by the iterative method. This point will be discussed in Section III. Application of different approximations for transition and emission rates appearing at T(n, E) leads to different approximations for T(n, E). The derivation of these closed-form approximate solutins and the conditions for their applicability will be discussed in Section IV.

II. THE MASTER EQUATION FOR SPECIFIC PARTICLE-HOLE CONFIGURATIONS

A specific nuclear configuration γ of a given exciton state is determined by a fixed distribution of particles and holes. The probability of finding a system in such a spedific exciton state (n, γ) at a time t is denoted by $P(n, \gamma, t)$. The transition rates (transition probability per unit time) from the state (n, γ) to a state (n', γ') are denoted by $\lambda_{\pm,0}(n, \gamma, \gamma')$ $(n'=n\pm 2, \text{ or } n)$. The total emission rate from the (n, γ) state is given by

$$W_{c}(n,\gamma) = \sum_{\beta} \int_{0}^{\epsilon_{\beta_{max}}} W_{\beta}(n\epsilon_{\beta},\gamma,\epsilon_{s}) d\epsilon_{\beta}, \qquad (1)$$

where β denotes various emitted particles.

The master equation describing the equilibration of such a system can be written as follows (see Fig. 1):

$$\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t} = \left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{+} + \left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{0} + \left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{-} + \left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{c},$$
(2)

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when

$$\left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{\pm} = \sum_{\nu} \lambda_{\pm}(n\pm2,\gamma',\gamma) P(n\pm2,\gamma',t) - P(n,\gamma,t) \sum_{\nu} \lambda_{\pm}(n,\gamma,\gamma')$$

$$\left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{0} = \sum_{r} \lambda_{o}(n,\gamma'\gamma) P(n,\gamma',t) - P(n,\gamma,t) \sum_{r} \lambda_{o}(n,\gamma,\gamma') \quad (2b)$$

and

$$\left\{\frac{\mathrm{d}P(n,\gamma,t)}{\mathrm{d}t}\right\}_{\mathrm{c}} = -P(n,\gamma,t) \ W_{\mathrm{c}}(n,\gamma). \tag{2c}$$

The four term in eq. (2) represent transitions with exciton number change (",+" and ",-"), no exciton-number change ("o") and the emission of particles ("c"), respectively. It is understood that the probability $P(n, \gamma, t)$, the transition rates $\lambda_{\pm,o}(n, \gamma, \gamma')$ and the emission rates $W_c(n, \gamma)$ are functions of the excitation energy E of the nucleus; hence, for the time being, the energy is not written explicitly.

The summation of transition rates over final configurations leads to the quantities $\lambda_{\pm,0}(n, \gamma)$,

$$\lambda_{\pm,0}(n,\gamma) = \sum_{\gamma'} \lambda_{\pm,0}(n,\gamma,\gamma'). \tag{3}$$

These relations are applied to eqs. (2a) and (2b).

The total probability of finding a system (at an excitation energy E) in an n-exciton state at a time t can be defined as a sum of probabilities of finding it in any of its allowed particle-hole configurations, i. e.

$$P(n,t) = \sum_{\gamma} P(n,\gamma,t). \tag{4}$$

We may now proceed to the summation of eq. (2) over initial configurations. A general statistical assumption for the preequilibrium exciton model is that every partition of energy for a given exciton number occures with equal a priori probability during the equilibration process. Having this in mind, we may write the specific probability $P(n, \gamma, t)$ as independent of a given configuration γ , i.e.

$$P(n, \gamma, t) = \mathbf{P}(n, t). \tag{5}$$

Furthemore

$$\sum_{\mathbf{r}} P(n, \gamma, t) = N_{\mathbf{r}} \mathbf{P}(n, t) = P(n, t), \tag{6}$$

where N_r is the number of n-exciton configurations of a given type γ .

The average values of transition and emission rates are given by

$$\frac{\sum_{\gamma} \lambda_{\pm,0}(n,\gamma) P(n,\gamma,t)}{\sum_{\gamma} P(n,\gamma,t)} = \lambda_{\pm,0}(n,t)$$
(7a)

$$\frac{\sum_{x} W_{c}(n, \gamma) P(n, \gamma, t)}{\sum_{x} P(n, \gamma, t)} = W_{c}(n, t). \tag{7b}$$

These quantities apper as time-dependent. However, if we use the assumption of a priori equal probabilities for every energy partition (specific configuration) for a given exciton state (eq. 6), inherent to the exciton model, the relations (7a) and (7b) become

$$\frac{\mathbf{P}(n,t)\sum_{\gamma}\lambda_{\pm,0}(n,\gamma)}{N_{\gamma}\mathbf{P}(n,t)} = \frac{\sum_{\gamma}\lambda_{\pm,0}(n,\gamma)}{N_{\gamma}} = \lambda_{\pm,0}(n)$$
(8a)

$$\frac{\mathbf{P}(n,t)\sum_{\gamma}W_{c}(n,\gamma)}{N_{\gamma}\mathbf{P}(n,t)} = \frac{\sum_{\gamma}W_{c}(n,\gamma)}{N_{\gamma}} = W_{c}(n).$$
(8b)

It follows from eqs. (8a) and (8b) that the average values of transition and emission rates are time-independent.

From eqs. (7) and (8) the following relations can be obtained

$$\sum_{\gamma} \lambda_{\pm,0}(n,\gamma) P(n,\gamma,t) = \lambda_{\pm,0}(n) P(n,t)$$
 (9a)

$$\sum_{\gamma} W_c(n, \gamma) P(n, \gamma, t) = W_c(n) P(n, t).$$
(9b)

When the summation over initial configurations in eq. (2) is performed using relations (3), eq. (2) takes the form

$$\frac{dP(n,t)}{dt} = \sum_{r} \lambda_{+}(n-2,\gamma) P(n-2,\gamma',t) + \sum_{r} \lambda_{-}(n+2,\gamma') P(n+2,\gamma't) +
+ \sum_{r} \lambda_{0}(n,\gamma') P(n,\gamma',t) - \sum_{r} \lambda_{0}(n,\gamma) P(n,\gamma,t) -
- \sum_{r} \lambda_{+}(n,\gamma) P(n,\gamma,t) - \sum_{r} \lambda_{-}(n,\gamma) P(n,\gamma,t) - \sum_{r} W_{c}(n,\gamma) P(n,\gamma,t).$$

Using relations (9a) and (9b) in eq. (10) one obtains the wellknown expression for the master equation:

$$\frac{dP(n, E, t)}{dt} = \lambda_{+}(n-2, E) P(n-2, E, t) + \lambda_{-}(n+2, E) P(n+2, E, t) - [\lambda_{+}(n, E) + \lambda_{-}(n, E) + W_{c}(n, E)] P(n, E, t).$$
(1)

Eqs. (10) and (11) differ in that in the latter the transition and emission rates are averaged over all allowed particle-hole configurations γ . Consequently, the terms with $\lambda_0(n, E)$ have cancelled. The energy dependence E is written explicitly.

The procedure used in this paper differs somewhat from that used in ref. [6]. Our aproach is based on the assumption that every partition of energy is equally probable, which leads directly to the independence of $P(n, \gamma, t)$ on the configurations γ . In ref. [6] it is assumed that all transition and emission rates summed over final configurations are independent of initial configurations, i.e.

$$\lambda_{\pm}(n, \gamma) = \lambda_{\pm}(n)$$

$$W_{c}(n, \gamma) = W_{c}(n).$$
(12)

In our approach the additional assumption (12) is superfluous.

III. THE MASTER EQUATION AND CLOSED-FORM EXPRESSIONS FOR T(n, E)

The master equation (11) has been widely used to bescribe nuclear reactions, as it gives both pre-equilibrium and equilibrium components of the reaction cross section. A coupled system of master equations can be solved by numerical methods [2—5, 8], or transformed into a linear algebraic system for time- integrated occupation probabilities [7]. Thi time-integrated occupation probabilities T(n, E) determine the time spent by the composite system in an n-exciton state:

$$T(n, E) = \int_0^{\infty} P(n, E, t) dt.$$
 (13)

The time T(n, E) should be distiguished from the mean lifetime $\tau(n, E)$ of an n-exciton state defined as

$$\tau(n, E) = [\lambda_{+}(n, E) + \lambda_{-}(n, E) + W_{c}(n, E)]^{-1}.$$
(14)

Within certain approximations, closed-from expressions for the time T(n, E) can be obtained in two ways. The master equation (11) can be integrated over time. From this equation, a closed form expression for T(n, E) can be obtained using the iterative method. This method was suggested in ref. [7]. The same result for T(n, E) can also be obtained by calculating the depletion of states due to λ and

(10)

W_c transitions from each exciton state in a chain. This procedure was suggested in ref. [9]. We shall briefly outline both approaches.

Let us start with the method described in ref. [7]. Defining the initial excition distribution as D(n), the boundary condition for the tine t=0 is

$$P(n, E, 0) = D(n),$$
 (15)

and for the time $t \rightarrow \infty$

$$\lim_{t \to \infty} P(n, E, t) = 0. \tag{16}$$

Integrating the master equation (11) over time and applying the conditions (15) and (16), we obtain the time-integrated master equation

$$-D(n) = T(n-2, E) \lambda_{+}(n-2, E) + T(n+2, E) \lambda_{-}(n+2, E) - T(n, E) \frac{1}{\tau^{(n,E)}}.$$

The solution of eq. (17) in the zeroth approximation is

$$T^{(0)}(n, E) = \tau(n, E) \left[\prod_{\substack{i=n_0 \\ \Delta i=2}}^{n-2} \lambda_+(i, E) \tau(i, E) \right] \qquad n > n_0$$
 (18a)

nd for $n=n_0$,

$$T^{(0)}(n_0, E) = \tau(n_0, E).$$
 (18b)

The above approximate solution is obtained assuming that $D(n) = \delta_{mn_0}$ and $\lambda_{-}(n+2, E) \ll \lambda_{+}(n-2, E)$. The latter condition is satisfied for exciton states with a low exciton number $(n \text{ not much different from } n_0)$.

The complete closed-from expression for the time T(n, E) spent by the nucleus in an *n*-exciton state is obtained using the iterative procedure from the time-integrated master equation (17):

$$T^{(i)}(n,E) = \tau(n,E) \left[\prod_{i=n_0 A i=2}^{n-2} \lambda_+(i,E) \, \tau(i,E) \right].$$

$$\cdot \left[1 + \lambda_+(n,E) \, \tau(n,E) \, \lambda_-(n+2,E) \, \tau(n+2,E) \right] + \tau(n,E) \, \delta_{nn_0}. \tag{15}$$

As already mentioned, the same result was obtained in ref. [9] using a different approach. The authors of ref. [9] start from the mean lifetime of an n-exciton state and calculated the depletion of states due to emissions and λ_- transitions from all states preceding a given exciton state. The correction factor to the mean lifetime due to such effects can be expressed as

$$\tau(n,E) \left[\prod_{i=n_0 \Delta i=+2}^{n-2} \lambda_+(i,E) \tau(i,E) \right]. \tag{20a}$$

An additional correction factor is obtained when considering the $\lambda_+(n, E)$ transition which forms the (n+2)-exciton state. From this state, the $\lambda_-(n+2, E)$ transition leads back to the *n*-exciton state and acts as a feeding term, Therefore, the complete expression is

$$T(n, E) = \tau(n, E) \, \delta_{m_0} + \tau(n, E) \left[\prod_{\substack{i=n_0\\ \Delta i=+2}}^{n-2} \lambda_+(i, E) \, \tau(i, E) \right] + \tau(n, E) \left[\prod_{\substack{i=n_0+2\\ \Delta i=+2}}^{n} \lambda_+(i, E) \, \tau(i, E) \right] \lambda_-(n+2, E) \, \tau(n+2, E) = \tau(n, E) \left[\prod_{\substack{i=n_0+2\\ \Delta i=+2}}^{n-2} \Delta_+(i, E) \, \tau(i, E) \right] \left[1 + \lambda_+(n, E) \, \tau(n, E) \right] .$$

$$\lambda_-(n+2, E) \, \tau(n+2, E) + \tau(n, E) \, \delta_{m_0}, \qquad (20b)$$

which is identical with (19). In expression (20b), λ_{-} transitions from the states with an exciton number higher than (n+2) are neglected.

If we use eq. (19), the closed-form expression for the differential pre-equilibrium cross section becomes

$$\frac{\mathrm{d}\sigma(\alpha,\beta,E,\varepsilon_{\beta})}{\mathrm{d}\varepsilon_{\beta}} = \sigma_{R}(\alpha,E) \sum_{\substack{n=n_{0}\\ \alpha n=+2}}^{n} W_{\beta}(n,E,\varepsilon_{\beta}) T(n,E). \tag{21}$$

The simple physical meaning of the above expression that the emission from an n-exciton state is proportional to the emission rate multiplied by the time the system spends in this particular state up to the moment the equilibrium is reached.

IV. CLOSED-FORM APPROXIMATE EXPRESSIONS FOR T(n, E)

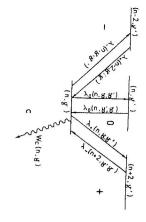
The above described closed-form expression for T(n, E) (eqs. 19 and 20b) obtained from the time-integrated master equation is also an approximation of the exact solution of the master equation. Besides this solution there are several other approximations for T(n, E) used in literature. These are based on specific assumptions on the absolute values of emission and transition rates and their respective relations. These approximations have been successfully used in analysing experimental data. We shall now (1) discuss these approximations in more detail and (2) show that they are in satisfactory agreement with experimental data under certain conditions.

(1a) An approximate solution could be obtained assuming that both $\lambda_{-}(n, E)$

Then expression (19) takes the form and $W_{\epsilon}(n,E)$ could be neglected with respect to the dominant $\lambda_{+}(n,E)$ transitions.

$$T(n, E) = [\lambda_{+}(n, E)]^{-1}.$$
 (22)

Such a form was used at an early stage of development of the exciton model [1, 10]. This approximation is valid for the very first exciton states (n close to n_0).



sitions within exciton states if specific particle-Fig. 1. A schematic presentation of allowed tranhole configurations are explicitly considered.

the $\lambda_{-}(n, E)$ transition is neglected. Then, eq. (19) takes the form (1b) Another approximate expression for the time T(n, E) is obtained if only

$$T(n,E) = \frac{1}{\lambda_{+}(n,E) + W_{c}(n,E)} \prod_{i=n_{0}A_{i}=+2}^{n-2} \left[1 - \frac{W_{c}(i,E)}{\lambda_{+}(i,E) + W_{c}(i,E)} \right].$$
 (23)

excitation energy of the system, and configurations of particle and hole collision probabilities, and depends on the an n-exciton configuration obtained as average values over the allowed energies depends on the energy of the emitted particles. In ref. [11], λ_{+} is the decay rate of free path of nucleons in nuclear matter, is independent of the exciton number and but with λ_+ being defined in another way. In ref. [12], λ_+ is obtained from the mean [11]. An expression of the same form has also been used in the hybrid model [12], This expression has been widely used in the exciton model as formulated in ref.

i.e. until n reaches \overline{n} . However, since the emission probability decreases rapidly The approximation (23) is valid until λ_{-} and λ_{+} transitions become comparable,

sufficient accuracy even for n close to n. with increasing n, this approximation still dexcribes preequilibrium emission with

24 MeV for a number of nuclei from A = 45 to A = 209 [13]. transitions is neglected. We use this approximate close-form expressions to analyse (n, 2n) excitation functions in the incoming energy $E_n = E_{incertail}(n, 2n)$ up to approximation, $\tau(n, E)$ is treated exactly, but the feeding term due to $\lambda_{-}(n+2, E)$ (18a and 18b) obtained from the time integrated master equation (17). In this (1c) The third possibility is to take for T(n, E) the zero-order approximation

In order to verify the conditions for the applicability of the above three

mechanism, calculated using various expressions for T(n, E). expressions, and (iv) spectra of primary neutrons emitted due to the preequilibrium emission rates; (iii) values for T(n, E) obtained from various approximate λ_+, λ_- and W_c transition and emission rates; (ii) ratios between these transition and quantities for a chosen nucleus at a given excitation energy: (i) absolute values of approximate closed-form expressions for T(n, E), we compare the following

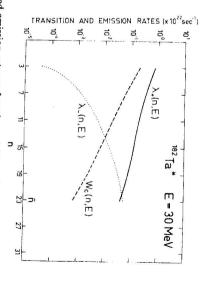


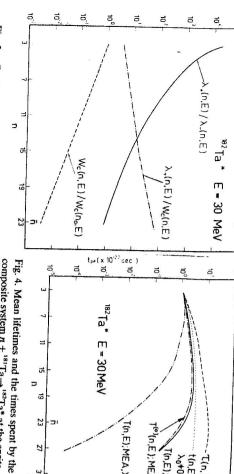
Fig. 2. Transition and emission rates as functions of the exciton number n for a system $n + {}^{181}\text{Ta} \rightarrow {}^{182}\text{Ta}^*$ at the excitation energy E = 30 MeV.

 $n = n_0$ to n. The λ_- transition can be neglected, especially at the beginning of the excition chain, while W_c , although a decreasing function of n, cannot be neglected. be seen from Fig. 2 that the λ_+ transition is dominant along all exciton states from as described in ref. [13], with the value of the parameter $K = 700 \text{ MeV}^3$. It can shows the values obtained for $\lambda_+(n, E)$, $\lambda_-(n, E)$ and $W_c(n, E)$ for this nucleus. The transition rates λ_+ and λ_- and the emission rate $W_c(n,E)$ have been calculated Fig. 3 represents the ratios $\lambda_+/(\lambda_-, W_c(n)/W_c(n_0))$ and λ_+/W_c . The ratio λ_+/λ_- As a test nucelus we have chosen ¹⁸²Ta excited to an energy of 30 MeV. Fig. 2

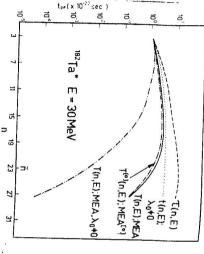
which consists in neglecting λ_- can be used even when this transition rate is emission from the states around $n \sim n$ can be neglected, the approximation emitted during the first stages of the equilibration process. Since in this case the creasing function of n. This means that the bulk of the emitted particles should be approximate closed-form expression is that $W_c(n)/W_c(n_0)$ should also be a defor T(n, E) (eqs. 23 and 18). Another condition for the applicability of the same to neglect λ_- transitions and use the appropriate closed-form expression is a very rapidly decreasing function, approaching unity at n = n; it is thus possible

Fig. 4 shows a comparison between $\tau(n, E)$ and T(n, E) obtained with different

comparable with λ_{+} .



 $n + {}^{181}\text{Ta} \rightarrow {}^{182}\text{Ta}^*$ at the excitation energy E = $/W_{\epsilon}(n, E)$ and $W_{\epsilon}(n, E)/W_{\epsilon}(n_0, E)$ for a system Fig. 3. Ratios $\lambda_{+}(n, E)/\lambda_{-}(n, E)$, $\lambda_{+}(n, E)/\lambda_{-}(n, E)$ 30 MeV.



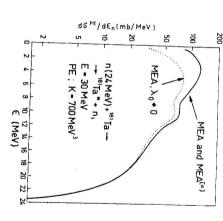
eq. (19); T(n, E), MEA^[0]: eq. (18); T(n, E), tion energy $E = 30 \text{ MeV. Symbols: } \tau(n, E) : \text{eq.}$ (14); t(n, E); $\lambda_0 \neq 0$: eq. (24); T(n, E), MEA: composite system $n + {}^{181}\text{Ta} \rightarrow {}^{182}\text{Ta}^*$ at the excita-MEA, $\lambda_0 \neq 0$: ref. [9].

approximate expression for T(n, E) (eq. 19) was used, but the mean lifetime of an n-exciton state was defined as iterative procedure from the time-integrated master equation. In ref. [9], the same for T(n, E) (eq. 18) agrees very well with the value T(n, E) obtained by the approximate closed-form expressions. It can be seen that the zeroth approximation

$$t(n, E) = [\lambda_{+}(n, E) + \lambda_{-}(n, E) + \lambda_{0}(n, E) + W_{c}(n, E)]^{-1}.$$
(24)

correct. This point was already criticized by Běták and Dobeš [14]. master equations to include λ_0 transitions as was done by Wu and Chang [9] is not from those obtained by using eq. (19). Let us note again that the extension of section is given by eq. (21), it is clear that the results of ref. [9] should be different markedly from the value of T(n, E) calculated from eq. (19). As the emission cross and T(n, E) obtained in ref. [9]. The value of T(n, E) from this reference differs the master equation (11). In this respect Fig. 4 also shows the result for $\tau(n, E)$ We have shown in Section II that the terms with λ_0 transitions should not appear in

time-integrated master equation (MEA) and its zeroth approximation (eq. 18) give closed-form approximate expression for T(n, E) (eq. 19) obtained from the tion $E=25\,\mathrm{MeV}$). The spectra were calculated using the closed-from expression pre-equilibrium mechanism for ¹⁸²Ta (excitation E = 30 MeV) and ⁵⁶Mn (excita-(21) with different approximate expression for T(n, E). In ¹⁸²Ta (Fig. 5), the Figs. 5 and 6 show the calculated spectra of primary neutrons due to the

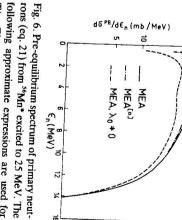


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 $n(14.6 \text{ MeV}) + {}^{55}\text{Mn} \rightarrow {}^{55}\text{Mn}^* + n_7$ PE, K=700 MeV³

following approximate expressions are used for T(n, E): MEA: eq. (19), MEA¹⁰: eq. (18) and rons (eq. 21) from ¹⁸²Ta* excited to 30 MeV. The Fig. 5. Pre-equilibrium spectrum of primary neut-MEA, $\lambda_0 \neq 0$: from ref. [9].



T(n, E): MEA: eq. (19), MEA^(o): eq. (18) and following approximate expressions are used for rons (eq. 21) from 56Mn* excited to 25 MeV. The MEA, $\lambda_0 \neq 0$: from ref. [9]

expression for T(n, E) with the λ_0 transition (ref. [9]) differs from those obtained energies of primary neutrons. For both nuclei, the result obtained using the using eq. (18) and (19). (Fig. 6), the results obtained using these two equations differ slightly at low the same results for the preequilibrium component of primary neutrons. For ⁵⁶Mn

 λ -transitions completely (eq. 23) or partly (eqs. 18 and 19). spends in an n-exciton state) (eq. 18) is a satisfactory approximate solution of the time-integrated master equation. In this energy region it is possible to neglect the zero-order approximation to the time T(n, E) (the time which the system region studied [13] (E = 15 - 30 MeV) and for a wide range of nuclei A = 45 - 209From the analysis performed we may conclude that for excitation energies in the

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